Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #  00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Applies to all products administered or underwritten by Blue Cross and Blue Shield of Louisiana and its subsidiary, HMO Louisiana, Inc. (collectively referred to as the “Company”), unless otherwise provided in the applicable contract. Medical technology is constantly evolving, and we reserve the right to review and update Medical Policy periodically.

Note: Risk-Reducing Mastectomy is addressed separately in medical policy 00141.

Note: Genetic Cancer Susceptibility Panels Using Next Generation Sequencing is addressed separately in medical policy 00382.

Note: Germline Genetic Testing for Gene Variants Associated With Breast Cancer in Individuals at High Breast Cancer Risk (CHEK2, ATM, and BARD1) is addressed separately in medical policy 00504.

Note: Germline Genetic Testing for Pancreatic Cancer Susceptibility Genes is addressed separately in medical policy 00706.

Note: Germline and Somatic Biomarker Testing (Including Liquid Biopsy) for Targeted Treatment and Immunotherapy in Breast Cancer is addressed separately in medical policy 00731.

When Services May Be Eligible for Coverage
Coverage for eligible medical treatments or procedures, drugs, devices or biological products may be provided only if:
- Benefits are available in the member’s contract/certificate, and
- Medical necessity criteria and guidelines are met.

Individuals with Cancer or with a Personal History of Cancer
Based on review of available data, the Company may consider genetic testing for BRCA1, BRCA2, and PALB2 variants in cancer-affected individuals to be eligible for coverage.**
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Patient Selection Criteria
Coverage eligibility for genetic testing for BRCA1, BRCA2, and PALB2 variants (exclusive of systemic therapy criteria*** in cancer-affected individuals will be considered when ANY of the following criteria are met:

- Individuals with any blood relative with a known pathogenic/likely pathogenic variant in a BRCA1 or BRCA2 gene; OR
- Individuals meeting the criteria below but with previous limited testing (e.g., single gene and/or absent deletion duplication analysis); OR
- Personal history of breast cancer and ONE OR MORE of the following:
  - Diagnosed at age ≤50 years; OR
  - Diagnosed at ANY age:
    - Multiple primary breast cancers (synchronous or metachronous); OR
    - Male breast cancer; OR
    - Ashkenazi Jewish ancestry; OR
    - ≥1 close blood relative (see Policy Guidelines section) with ANY of the following:
      - Breast cancer diagnosed ≤50 years; OR
      - Ovarian/fallopian tube/primary peritoneal cancer; OR
      - Male breast cancer; OR
      - Metastatic or high-risk group or very-high-risk group (see Policy Guidelines section) prostate cancer; OR
      - Pancreatic cancer; OR
    - ≥2 close blood relatives with either breast or prostate cancer (any grade) at any age (see Policy Guidelines section); OR
    - ≥3 total diagnoses of breast cancer in patient and/or in close blood relative (see Policy Guidelines section); OR
    - Triple-negative breast cancer (TNBC) in an affected individual to guide PARP inhibitor eligibility***, or for hereditary breast/ovarian cancer (HBOC) syndrome evaluation if diagnosed at age 60 years or younger (e.g., not eligible for PARP inhibitor therapy); OR
    - Lobular breast cancer with personal or family history of diffuse gastric cancer;

©2023 Blue Cross and Blue Shield of Louisiana

Blue Cross and Blue Shield of Louisiana is an independent licensee of the Blue Cross and Blue Shield Association and incorporated as Louisiana Health Service & Indemnity Company.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from Blue Cross and Blue Shield of Louisiana.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

OR

- Personal history of epithelial ovarian/fallopian tube/primary peritoneal cancer at any age; OR
- Personal history of exocrine pancreatic cancer at any age; OR
- Personal history of prostate cancer and ANY of the following:
  - Metastatic, regional (node positive), very-high-risk localized, or high-risk localized prostate cancer (see Policy Guidelines section); OR
  - Personal history of breast cancer; OR
  - Ashkenazi Jewish ancestry; OR
  - ≥1 first-, second-, or third-degree relative with ANY of the following:
    - breast cancer at age ≤50 years; OR
    - colorectal or endometrial cancer at age ≤50 years; OR
    - male (sex assigned at birth) breast cancer at any age; OR
    - metastatic, regional (node positive), very-high-risk, or high-risk prostate cancer at any age; OR
    - ovarian/fallopian tube/primary peritoneal cancer; OR
    - pancreatic cancer; OR
  - ≥1 first-degree relative (parent or sibling) with prostate cancer (other than localized Grade Group 1 disease) at age ≤60 years; OR
  - ≥2 first-, second-, or third-degree relatives on the same side of the family with breast or prostate cancer (other than localized Grade Group 1 disease) at any age; OR
  - ≥3 first- or second-degree relatives on the same side of the family with Lynch syndrome-related cancers (especially if diagnosed <50 years): colorectal, endometrial, gastric, ovarian, exocrine pancreas, upper tract urothelial, glioblastoma, biliary tract, and small intestinal cancer;

Note:
If criteria for individuals with personal history of prostate cancer are met, germline multigene testing that includes BRCA1, BRCA2, ATM, PALB2, CHEK2, HOXB13, MLH1, MSH2, MSH6, and PMS2 can be considered for coverage if not done before and if billed with a single procedure code.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

OR

- Ashkenazi Jewish ancestry; OR
- Personal history of cancer and a BRCA1 or BRCA2 pathogenic or likely pathogenic variant identified on tumor genomic testing that has clinical implications if also identified in the germline, variant analysis; OR
- Personal history of cancer and to aid in systemic therapy decision-making for PARP-inhibitors and platinum therapy for metastatic pancreatic cancer.

*** Indicates criteria that are not applicable to PALB2 gene testing.

Individuals without Cancer or Other Personal History of Cancer (see Policy Guidelines)
Based on review of available data, the Company may consider genetic testing for BRCA1 and BRCA2 variants in cancer-unaffected individuals to be eligible for coverage.

Patient Selection Criteria
Coverage eligibility for genetic testing for BRCA1 and BRCA2 variants in cancer-unaffected individuals will be considered when ANY of the following criteria are met:

- An individual with any type of cancer or unaffected individual with a 1st- or 2nd-degree blood relative meeting any criterion listed above for Individuals—With Cancer (except individuals who meet criteria only for systemic therapy decision-making). If the individual with cancer has pancreatic cancer or prostate cancer (metastatic or intraductal/cribriform histology or high-risk group or very-high-risk group) then only first-degree relatives should be offered testing unless there are other family history indications for testing; OR
- An individual with any type of cancer or unaffected individual who otherwise does not meet the criteria above, but has a probability >5% of a BRCA1/2 pathogenic variant based on prior probability models (e.g., PennII Risk Model, Tyrer-Cuzick, BRCAPro, CanRisk) and has received comprehensive genetic counseling that included at minimum detailed kindred analysis, risk assessment for potentially harmful BRCA1/2 variants, patient education, discussion of the benefits and harms of testing, interpretation of results, and discussion of management options, when comprehensive genetic counseling resulted in a recommendation for BRCA genetic testing.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #: 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Notes:
Germline multi-gene small panel testing run on one testing platform that includes other high-penetration breast cancer susceptibility genes (i.e., CDH1, PALB2, PTEN, and TP53) can be considered when patient selection criteria are met for BRCA1 and BRCA2 testing. In this situation procedure code representing smaller panel (i.e., CPT code 81432, with 81433 only if initial sequencing represented by code 81432 did not identify pathogenic or likely pathogenic variants, or if applicable PLA code 0129U) should be reported rather than multiple codes representing individual or sequential gene testing.

Consideration of both maternal and paternal family histories is necessary in the evaluation for risk of carrying a mutation in the BRCA1 or BRCA2 genes; each lineage must be considered separately.

Genetic testing should be performed in a setting that has suitably trained health care providers who can give appropriate pre- and posttest counseling and that has access to a Clinical Laboratory Improvement Amendments–licensed laboratory that offers comprehensive variant analysis (see Policy Guidelines section: Comprehensive Variant Analysis).

When Services Are Considered Not Medically Necessary
Repeated germline BRCA1, BRCA2 and PALB2 genetic testing is considered to be not medically necessary.**

Note: If BRCA testing done before August 2006 was negative, repeated testing for large deletions and rearrangements in BRCA 1 and 2 may be warranted.

When Services Are Considered Investigational
Coverage is not available for investigational medical treatments or procedures, drugs, devices or biological products.

Based on review of available data, the Company considers genetic testing for BRCA1, BRCA2 and PALB2 variants (or small panel testing including other high-penetration breast cancer susceptibility genes) in cancer-affected individuals or of cancer-unaffected individuals with a family history of cancer when criteria above are not met to be investigational.*
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Based on review of available data, the Company considers genetic testing in minors for BRCA1 and BRCA2 variants to be investigational.*

Based on review of available data, the Company considers direct-to-consumer genetic testing (e.g., mail or online ordering), mRNA sequence analysis, testing for variants of unknown significance, polygenic risk scores (PRS), and testing large panels of genes (e.g., Myriad myRisk®, CancerNext®, Comprehensive Common Cancer Panel, Invitae Multi-Cancer Panel, Invitae Common Hereditary Cancers Panel) to be investigational.*

When Services Are Not Covered
The Company does not consider BRCA gene testing to be eligible for coverage if testing is performed primarily for the medical management of persons not covered** by Blue Cross and Blue Shield of Louisiana or HMO Louisiana, Inc.

Policy Guidelines
Testing for BRCA1, BRCA2, and/or PALB2 outside of the above criteria, such as testing all individuals with triple negative breast cancer, may be indicated for guiding cancer therapies. Genetic testing for BRCA1 and BRCA2 variants in breast cancer-affected individuals and pancreatic cancer-affected individuals who are considering systemic therapy is addressed separately in medical policies 00731 and 00706, respectively. Genetic testing for PALB2 variants in pancreatic cancer-affected individuals is also addressed in medical policy 00706.

Current U.S. Preventive Services Task Force guidelines recommend screening women with a personal or family history of breast, ovarian, tubal, or peritoneal cancer or who have an ancestry associated with BRCA1/2 gene mutation. Women with a positive result on the risk assessment tool should receive genetic counseling and, if indicated after counseling, genetic testing (B recommendation).

Recommended screening tools designed to identify a family history that may be associated with an increased risk for potentially harmful variants in BRCA1 or BRCA2 are:
- Ontario Family History Assessment Tool (FHAT)
- Manchester Scoring System
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

- Referral Screening Tool (RST)
- Pedigree Assessment Tool (PAT)
- Family History Screen (FHS-7).
- International Breast Cancer Intervention Study instrument (Tyrer-Cuziak)
- Brief versions of the BRCAPRO

Close Relatives
Close relatives are blood related family members including 1st-, 2nd-, and 3rd-degree relatives on the same side of the family (maternal or paternal).
- 1st-degree relatives are parents, siblings, and children.
- 2nd-degree relatives are grandparents, aunts, uncles, nieces, nephews, grandchildren, and half-siblings.
- 3rd-degree relatives are great-grandparents, great-aunts, great-uncles, great-grandchildren, and first cousins.

Prostate Cancer Risk Groups
Metastatic prostate cancer should be biopsy-proven and/or with radiographic evidence and includes distant metastasis and regional bed or nodes. It is not a biochemical recurrence only.

Most North American clinicians use the risk stratification system of the National Comprehensive Cancer Network (NCCN) to define clinical risk categories. Risk groups for prostate cancer in this policy include high-risk groups and very-high-risk groups.

High-risk group: no very-high-risk features AND are T3a (American Joint Committee on Cancer staging T3a = tumor has extended outside of the prostate but has not spread to the seminal vesicles); OR Grade Group 4 or 5 (Gleason scores 8 to 10) OR prostate specific antigen (PSA) of 20 ng/ml or greater.

Very-high-risk group: T3b-T4 (tumor invades seminal vesicle(s); or tumor is fixed or invades adjacent structures other than seminal vesicles such as external sphincter, rectum, bladder, levator muscles, and/or pelvic wall); OR Primary Gleason Pattern 5 (Gleason scores 9 to 10); OR 2 or 3 high-risk features; OR greater than 4 cores with Grade Group 4 or 5.
The 2014 International Society of Urological Pathology (ISUP) consensus conference adopted a new five-tier grading system based on the modified Gleason scores. This new grading (ISUP grade group) system was adopted in the 2016 World Health Organization classification of genitourinary tumors. Tumors are separated into five categories based on the primary and secondary Gleason pattern:

- Grade group 1: Gleason score ≤6
- Grade group 2: Gleason score 3+4 = 7 (hazard ratio [HR] for death 2.8 relative to grade group 1)
- Grade group 3: Gleason score 4+3 = 7 (HR 6.0 relative to grade group 1)
- Grade group 4: Gleason score = 8 including 4+4 = 8, 3+5 = 8, or 5+3 = 8 (HR 7.1 relative to grade group 1)
- Grade group 5: Gleason scores 9 to 10 including 4+5, 5+4, or 5+5 (HR 12.7 relative to grade group 1)

**Choice of Multi-gene Testing**

Phenotype-directed testing based on personal and family history through a tailored (disease-focused) clinically actionable limited cancer susceptibility multi-gene panel is often more efficient and cost-effective and increases the yield of detecting a pathogenic/likely pathogenic variant in a gene that will impact medical management (in contrast to large multi-gene panels of uncertain or unknown clinical relevance).

Multi-gene panels can include “intermediate” penetrant (moderate risk) genes; for many there are limited data on the degree of cancer risk with no clear guidelines on risk management. In many cases the information from testing for moderate penetrance genes does not change risk management compared to that based on family history alone.

There are significant limitations in interpretation of polygenic risk scores (PRS). PRS should not be used for clinical management at this time.

**Recommended Testing Strategies**

Individuals who meet criteria for genetic testing as outlined in the policy statements above should be tested for variants in *BRCA1*, *BRCA2*, and *PALB2*. Recommended strategies are listed below.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #  00047
Original Effective Date:  05/13/2003
Current Effective Date:  04/10/2023

- In individuals with a known familial BRCA or PALB2 variant, targeted testing for the specific variant is recommended.
- In individuals with unknown familial BRCA or PALB2 variant:
  - Non-Ashkenazi Jewish descent
    - To identify clinically significant variants, National Comprehensive Cancer Network (NCCN) advises testing a relative who has early-onset disease, bilateral disease, or multiple primaries, because that individual has the highest likelihood of obtaining a positive test result. Unless the affected individual is a member of an ethnic group for which particular founder pathogenic or likely pathogenic variants are known, comprehensive genetic testing (ie, full sequencing of the genes and detection of large gene rearrangements) should be performed.
    - If no living family member with breast or ovarian cancer exists, NCCN suggests testing first- or second-degree family members affected with cancer thought to be related to deleterious BRCA1 or BRCA2 variants (eg, prostate cancer, pancreatic cancer, melanoma).
  - If no familial variant can be identified, 2 possible testing strategies are:
    - Full sequencing of BRCA1 and BRCA2 followed by testing for large genomic rearrangements (deletions, duplications) only if sequencing detects no variant (negative result).
      - More than 90% of BRCA variants will be detected by full sequencing.
    - Alternatively, simultaneous full sequencing and testing for large genomic rearrangements (also known as comprehensive BRCA testing; see Comprehensive Variant Analysis below) may be performed as is recommended by NCCN.
      - Comprehensive testing can detect 92.5% of BRCA1 or BRCA2 variants.
    - Testing for BRCA1, BRCA2, and PALB2 through panel testing over serial testing might be preferred for efficiency. Multi-gene panels often include genes of moderate or low penetrance, and genes with limited evidence on which to base management decisions. When considering a gene panel, NCCN recommends use of "tailored panels that are disease-focused and include clinically actionable cancer susceptibility genes."
  - Ashkenazi Jewish descent
    - In patients of known Ashkenazi Jewish descent, 1 approach is to test for the 3 known founder mutations (185delAG and 5182insC in BRCA1; 6174delT in BRCA2) first;
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #  00047
Original Effective Date:  05/13/2003
Current Effective Date:  04/10/2023

if testing is negative for founder mutations and if the individual's ancestry also included non-Ashkenazi ethnicity (or if other BRCA1/2 testing criteria are met), comprehensive genetic testing should be considered

Testing strategy may also include testing individuals not meeting the above criteria who are adopted and have limited medical information on biological family members, individuals with small family structure, and individuals with presumed paternal transmission.

ComprehensiveVariantAnalysis

Comprehensive variant analysis currently includes sequencing the coding regions and intron and exon splice sites, as well as testing to detect large deletions and rearrangements that can be missed with sequence analysis alone. In addition, before August 2006, testing for large deletions and rearrangements was not performed, thus some patients with familial breast cancer who had negative BRCA testing before this time may consider repeat testing for the rearrangements (see When Services May Be Eligible for Coverage section for Patient Selection Criteria).

High-Risk Ethnic Groups

Testing of eligible individuals who belong to ethnic populations in which there are well-characterized founder mutations should begin with tests specifically for these variants. For example, founder mutations account for approximately three-quarters of the BRCA variants found in Ashkenazi Jewish populations. When testing for founder mutations is negative, comprehensive variant analysis should then be performed.

Testing Unaffected Individuals

In unaffected family members of potential BRCA or PALB2 variant families, most test results will be negative and uninformative. Therefore, it is strongly recommended that an affected family member be tested first whenever possible to adequately interpret the test. Should a BRCA or PALB2 variant be found in an affected family member(s), DNA from an unaffected family member can be tested specifically for the same variant of the affected family member without having to sequence the entire gene. Interpreting test results for an unaffected family member without knowing the genetic status of the family may be possible in the case of a positive result for an established disease-associated variant but leads to difficulties in interpreting negative test results (uninformative
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

negative) or variants of uncertain significance because the possibility of a causative BRCA or PALB2 variant is not ruled out.

**Testing Minors**
The use of genetic testing for BRCA1, BRCA2, or PALB2 variants for identifying hereditary breast ovarian cancer syndrome has limited or no clinical utility in minors, because there is no change in management for minors as a result of knowledge of the presence or absence of a deleterious variant. In addition, there are potential harms related to stigmatization and discrimination. See medical policy 00731 regarding genetic testing to guide targeted therapy and immunotherapy.

**Prostate Cancer**
Individuals with BRCA or PALB2 variants have an increased risk of prostate cancer, and individuals with known BRCA or PALB2 variants may, therefore, consider more aggressive screening approaches for prostate cancer.

**Genetics Nomenclature Update**
The Human Genome Variation Society nomenclature is used to report information on variants found in DNA and serves as an international standard in DNA diagnostics (see Table PG1). The Society's nomenclature is recommended by the Human Variome Project, the HUman Genome Organization, and by the Human Genome Variation Society itself.

The American College of Medical Genetics and Genomics and the Association for Molecular Pathology standards and guidelines for interpretation of sequence variants represent expert opinion from both organizations, in addition to the College of American Pathologists. These recommendations primarily apply to genetic tests used in clinical laboratories, including genotyping, single genes, panels, exomes, and genomes. Table PG2 shows the recommended standard terminology- "pathogenic," "likely pathogenic," "uncertain significance," "likely benign," and "benign"- to describe variants identified that cause Mendelian disorders.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Table PG1. Nomenclature to Report on Variants Found in DNA

<table>
<thead>
<tr>
<th>Previous</th>
<th>Updated</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mutation</td>
<td>Disease-associated variant</td>
<td>Disease-associated change in the DNA sequence</td>
</tr>
<tr>
<td>Variant</td>
<td></td>
<td>Change in the DNA sequence</td>
</tr>
<tr>
<td>Familial variant</td>
<td></td>
<td>Disease-associated variant identified in a proband for use in subsequent targeted genetic testing in first-degree relatives</td>
</tr>
</tbody>
</table>

Table PG2. American College of Medical Genetics and Genomics and the Association for Molecular Pathology Standards and Guidelines for Variant Classification

<table>
<thead>
<tr>
<th>Variant Classification</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pathogenic</td>
<td>Disease-causing change in the DNA sequence</td>
</tr>
<tr>
<td>Likely pathogenic</td>
<td>Likely disease-causing change in the DNA sequence</td>
</tr>
<tr>
<td>Variant of uncertain significance</td>
<td>Change in DNA sequence with uncertain effects on disease</td>
</tr>
<tr>
<td>Likely benign</td>
<td>Likely benign change in the DNA sequence</td>
</tr>
<tr>
<td>Benign</td>
<td>Benign change in the DNA sequence</td>
</tr>
</tbody>
</table>

Genetic Counseling

Genetic counseling is primarily aimed at patients who are at risk for inherited disorders, and experts recommend formal genetic counseling in most cases when genetic testing for an inherited condition is considered. The interpretation of the results of genetic tests and the understanding of risk factors can be very difficult and complex. Therefore, genetic counseling will assist individuals in understanding the possible benefits and harms of genetic testing, including the possible impact of the information on the individual’s family. Genetic counseling may alter the utilization of genetic testing substantially and may reduce inappropriate testing. Genetic counseling should be performed by an individual with experience and expertise in genetic medicine and genetic testing methods.
Background/Overview

Hereditary Breast and Ovarian Cancer Syndrome

Several genetic syndromes with an autosomal dominant pattern of inheritance that features breast cancer have been identified. Of these, hereditary breast and ovarian cancer (HBOC) syndrome and some cases of hereditary site-specific breast cancer have in common causative variants in BRCA (breast cancer susceptibility) genes. Families suspected of having HBOC syndrome are characterized by an increased susceptibility to breast cancer occurring at a young age, bilateral breast cancer, male breast cancer, ovarian cancer at any age, as well as cancer of the fallopian tube and primary peritoneal cancer. Other cancers, such as prostate cancer, pancreatic cancer, gastrointestinal cancers, melanoma, and laryngeal cancer, occur more frequently in HBOC families. Hereditary site-specific breast cancer families are characterized by early-onset breast cancer with or without male cases, but without ovarian cancer. For this medical policy, BCBSLA refers collectively to both as hereditary breast and/or ovarian cancer.

Germline variants in the BRCA1 and BRCA2 genes are responsible for the cancer susceptibility in most HBOC families, especially if ovarian cancer or male breast cancer are features. However, in site-specific cancer, BRCA variants are responsible only for a proportion of affected families. BRCA gene variants are inherited in an autosomal dominant fashion through maternal or paternal lineage. It is possible to test for abnormalities in BRCA1 and BRCA2 genes to identify the specific variant in cancer cases and to identify family members at increased cancer risk. Family members without existing cancer who are found to have BRCA variants can consider preventive interventions for reducing risk and mortality.

Evidence suggests that genetic services are not equitably applied. Chapman-Davis et al (2021) found that non-Hispanic Whites and Asians were more likely to be referred for genetic services based solely on family history than were non-Hispanic Blacks and Hispanics. In addition, non-Hispanic Black patients and Hispanic patients were more likely to have advanced cancer when referred for genetic services than non-Hispanic Whites and Asians.

Clinical Features Suggestive of BRCA Variant

Young age of onset of breast cancer, even in the absence of family history, is a risk factor for BRCA1 variants. Winchester (1996) estimated that hereditary breast cancers account for 36% to 85% of...
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #  00047
Original Effective Date:  05/13/2003
Current Effective Date:  04/10/2023

patients diagnosed before age 30 years. In several studies, BRCA variants were independently predicted by early age at onset, being present in 6% to 10% of breast cancer cases diagnosed at ages younger than various premenopausal age cutoffs (age range, 35 to 50 years). In cancer-prone families, the mean age of breast cancer diagnosis among women carrying BRCA1 or BRCA2 variants is in the 40s. In the Ashkenazi Jewish population, Frank et al (2002) reported that 13% of 248 cases with no known family history and diagnosed before 50 years of age had BRCA variants. In a similar study by Gershoni-Baruch et al (2000), 31% of Ashkenazi Jewish women, unselected for family history, diagnosed with breast cancer at younger than 42 years of age had BRCA variants. Other studies have indicated that early age of breast cancer diagnosis is a significant predictor of BRCA variants in the absence of family history in this population.

As in the general population, a family history of breast or ovarian cancer, particularly of early age onset, is a significant risk factor for a BRCA variant in ethnic populations characterized by founder mutations. For example, in unaffected individuals of Ashkenazi Jewish descent, 12% to 31% will have a BRCA variant depending on the extent and nature of the family history. Several other studies have documented the significant influence of family history.

In patients with “triple-negative” breast cancer (ie, negative for expression of estrogen, progesterone, and overexpression of human epidermal growth factor receptor 2 receptors), there is an increased prevalence of BRCA variants. Pathophysiologic research has suggested that the physiologic pathway for the development of triple-negative breast cancer is similar to that for BRCA-associated breast cancer. In 200 randomly selected patients with triple-negative breast cancer from a tertiary care center, Kandel et al (2006) reported there was a greater than 3-fold increase in the expected rate of BRCA variants. BRCA1 variants were found in 39.1% of patients and BRCA2 variants in 8.7%. Young et al (2009) studied 54 women with high-grade, triple-negative breast cancer with no family history of breast or ovarian cancer, representing a group that previously was not recommended for BRCA testing. Six BRCA variants (5 BRCA1, 1 BRCA2) were found, for a variant rate of 11%. Finally, Gonzalez-Angulo et al (2011) in a study of 77 patients with triple-negative breast cancer, reported that 15 patients (19.5%) had BRCA variants (12 in BRCA1, 3 in BRCA2).

**PALB2 Gene**
The PALB2 gene (partner and localizer of BRCA2) encodes for a protein first described in 2006. The gene is located at 16p12.2 [Short (p) arm of chromosome 16 at position 12.2.] and has 13 exons.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #  00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

PALB2 protein assists BRCA2 in DNA repair and tumor suppression. Heterozygous pathogenic PALB2 variants increase the risk of developing breast and pancreatic cancers; homozygous variants are found in Fanconi anemia. Fanconi anemia is a rare disorder, primarily affecting children, that causes bone marrow failure. Affected individuals also carry a risk of cancers including leukemia. Most pathogenic PALB2 variants are truncating frameshift or stop codons, and are found throughout the gene. Pathogenic PALB2 variants are uncommon in unselected populations and prevalence varies by ethnicity and family history. For example, Antoniou et al (2014) assumed a prevalence of 8 per 10,000 in the general population when modeling breast cancer risks. Variants are more prevalent in ethnic populations where founder mutations have persisted (eg, Finns, French Canadians, Poles), while infrequently found in others (eg, Ashkenazi Jews). In women with a family history of breast cancer, the prevalence of pathogenic PALB2 variants ranges between 0.9% and 3.9%, or substantially higher than in an unselected general population. Depending on population prevalence, PALB2 may be responsible for as much as 2.4% of hereditary breast cancers; and in populations with founder mutations cause 0.5% to 1% of all breast cancers.

FDA or Other Governmental Regulatory Approval
U.S. Food and Drug Administration (FDA)
Clinical laboratories may develop and validate tests in-house and market them as a laboratory service; laboratory-developed tests must meet the general regulatory standards of the Clinical Laboratory Improvement Amendments. Genetic tests reviewed in this medical policy are available under the auspices of the Clinical Laboratory Improvement Amendments. Laboratories that offer laboratory-developed tests must be licensed by the Clinical Laboratory Improvement Amendments for high-complexity testing. To date, the U.S. Food and Drug Administration (FDA) has chosen not to require any regulatory review of this test.

U.S. Food and Drug Administration Approved Companion Diagnostics
FDA has approved various companion diagnostics to identify patients with BRCA mutations who may benefit from treatment with a targeted therapy (ie, PARP inhibitor drugs). FDA product codes: PQP, PJG
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

For example, FDA has approved BRACAnalysis CDx®‡ to detect germline BRCA1 and BRCA2 variants to identify patients with breast or ovarian cancer who may be considered for treatment with various PARP inhibitor drugs.

In addition to the various individual variant tests which are the focus of this policy, numerous other multigene panel tests exist that include BRCA1/2 among other genes. For example, FoundationOne CDx™‡ (F1CDx) is an FDA approved companion diagnostic for use of olaparib and rucaparib in accordance with their respective FDA labels in women with ovarian cancer with variants in somatic BRCA1/2. F1CDx is FDA approved to assess somatic BRCA1/2 and other homologous recombination pathway genes (eg, ATM, BRIP1, CHEK2, FANCA, FANCL, FANCM, NBN, RAD51C, RAD51D, and RAD54L) as well as microsatellite instability (MSI) and DNA mismatch repair genes (MLH1, MSH2, MSH6, PMS2). FoundationOne CDx is also FDA approved for determining somatic homologous recombination deficiency based on genomic loss of heterozygosity (LOH) and BRCA mutant status. Also, FoundationOne Liquid CDx is FDA approved for detection of somatic BRCA1 and BRCA2 alterations in individuals with prostate cancer considering treatment with rucaparib. However, further discussion of these multigene panel tests are outside of the scope of this review, but can be found in medical policies 00423 Comprehensive Genomic Profiling for Selecting Targeted Cancer Therapies 00497 Circulating Tumor DNA and Circulating Tumor Cells for Cancer Management (Liquid Biopsy).

Poly (Adenosine Diphosphate–Ribose) Polymerase (PARP) Inhibitors

Poly (adenosine diphosphate–ribose) polymerase (PARP) inhibitors drugs are oral targeted therapies used to treat certain types of cancers that have damaged DNA repair pathways (eg, BRCA mutation). Table 1 provides a list of FDA approved PARP inhibitor drugs and their BRCA mutation-related approved indications.

Table 1. U.S. Food and Drug Administration-Approved BRCA Mutation-Related Indications for Poly (Adenosine Diphosphate–Ribose) Polymerase (PARP) Inhibitors

<table>
<thead>
<tr>
<th>PARP Inhibitor</th>
<th>Year Approved</th>
<th>Indication</th>
</tr>
</thead>
<tbody>
<tr>
<td>Olaparib</td>
<td>2018</td>
<td>Maintenance treatment of adult patients with deleterious or suspected deleterious germline or somatic BRCA-mutated advanced epithelial ovarian,</td>
</tr>
</tbody>
</table>
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047  
Original Effective Date: 05/13/2003  
Current Effective Date: 04/10/2023

<table>
<thead>
<tr>
<th>Year</th>
<th>Date</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>2018</td>
<td>Treatment of adult patients with deleterious or suspected deleterious gBRCAm advanced ovarian cancer who have been treated with 3 or more prior lines of chemotherapy.(^a)</td>
<td></td>
</tr>
<tr>
<td>2019</td>
<td>Maintenance treatment of adult patients with deleterious or suspected deleterious gBRCAm metastatic pancreatic adenocarcinoma whose disease has not progressed on at least 16 weeks of a first-line platinum-based chemotherapy regimen(^a)</td>
<td></td>
</tr>
<tr>
<td>2020</td>
<td>In combination with bevacizumab for the maintenance treatment of adult patients with advanced epithelial ovarian, fallopian tube or primary peritoneal cancer who are in complete or partial response to first-line platinum-based chemotherapy and whose cancer is associated with homologous recombination deficiency positive status defined by either a deleterious or suspected deleterious BRCA mutation, and/or genomic instability.(^a)</td>
<td></td>
</tr>
<tr>
<td>2020</td>
<td>Treatment of adult patients with deleterious or suspected deleterious germline or somatic HRR gene-mutated metastatic castration-resistant prostate cancer (mCRPC) who have progressed following prior treatment with enzalutamide or abiraterone</td>
<td></td>
</tr>
<tr>
<td>2017</td>
<td>For the maintenance treatment of adult patients with recurrent epithelial ovarian, fallopian tube, or primary peritoneal cancer who are in a complete or partial response to platinum-based chemotherapy</td>
<td></td>
</tr>
</tbody>
</table>

\(^a\) Niraparib
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

<table>
<thead>
<tr>
<th>Year</th>
<th>Indication</th>
</tr>
</thead>
<tbody>
<tr>
<td>2019</td>
<td>Treatment of adult patients with advanced ovarian, fallopian tube, or primary peritoneal cancer who have been treated with 3 or more prior chemotherapy regimens and whose cancer is associated with homologous recombination deficiency positive status defined by either a deleterious or suspected deleterious BRCA mutation, or genomic instability and who have progressed more than 6 months after response to the last platinum-based chemotherapy.(^a)</td>
</tr>
<tr>
<td>2020</td>
<td>Treatment of adult patients with a deleterious BRCA mutation (germline and/or somatic)-associated metastatic castration-resistant prostate cancer (mCRPC) who have been treated with androgen receptor-directed therapy and a taxane based chemotherapy.(^a,b)</td>
</tr>
</tbody>
</table>

\(^a\)Select patients for therapy based on an FDA-approved companion diagnostic.  
\(^b\)This indication is approved under accelerated approval based on objective response rate and duration of response. Continued approval for this indication may be contingent upon verification and description of clinical benefit in confirmatory trials. The ongoing FDA-required confirmatory trial is TRITON3 (NCT02975934), which is a randomized, phase 3 study evaluating rucaparib 600 mg BID vs physician’s choice treatment in patients with mCRPC and a deleterious germline or somatic BRCA1, BRCA2, or ATM mutation and powered to measure progression-free survival as its primary outcome. BRCA: BReast CAncer gene; gBRCAm: germline BRCA mutated; HER2: human epidermal growth factor receptor 2; HRR: homologous recombination repair; PARP: Poly(adenosine diphosphate–ribose) polymerase

**Rationale/Source**  
This medical policy was developed through consideration of peer-reviewed medical literature generally recognized by the relevant medical community, U.S. Food and Drug Administration approval status, nationally accepted standards of medical practice and accepted standards of medical practice.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #  00047
Original Effective Date:  05/13/2003
Current Effective Date:  04/10/2023

practice in this community, technology evaluation centers, reference to federal regulations, other plan medical policies, and accredited national guidelines.

Hereditary breast and ovarian cancer syndrome describe the familial cancer syndromes related to variants in the BRCA genes (BRCA1 located on chromosome 17q21, BRCA2 located on chromosome 13q12-13). The PALB2 gene is located at 16p12.2 and has 13 exons. PALB2 protein assists BRCA2 in DNA repair and tumor suppression. Families with hereditary breast and ovarian cancer syndrome have an increased susceptibility to the following types of cancer: breast cancer occurring at a young age, bilateral breast cancer, male breast cancer, ovarian cancer (at any age), cancer of the fallopian tube, primary peritoneal cancer, prostate cancer, pancreatic cancer, gastrointestinal cancers, melanoma, and laryngeal cancer.

Summary of Evidence
For individuals who have cancer or a personal or family cancer history and meet criteria suggesting a risk of hereditary breast and ovarian cancer (HBOC) syndrome who receive genetic testing for a BRCA1 or BRCA variant, the evidence includes a TEC Assessment and studies of variant prevalence and cancer risk. Relevant outcomes are overall survival (OS), disease-specific survival, test validity, and quality of life. The accuracy of genetic testing for the genes discussed has been shown to be high. Studies of lifetime risk of cancer for carriers of a BRCA variant have shown a risk as high as 85%. Knowledge of BRCA variant status in individuals at risk of a BRCA variant may impact health care decisions to reduce risk, including intensive surveillance, chemoprevention, and/or prophylactic intervention. In individuals with BRCA1 or BRCA2 variants, prophylactic mastectomy and oophorectomy have been found to significantly increase disease-specific survival and OS. Knowledge of BRCA variant status in individuals diagnosed with breast cancer may impact treatment decisions. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who have other high-risk cancers (eg, cancers of the fallopian tube, pancreas, prostate) who receive genetic testing for a BRCA1 or BRCA2 variant, the evidence includes studies of variant prevalence and cancer risk. Relevant outcomes are OS, disease-specific survival, test validity, and quality of life. The accuracy of genetic testing for the genes discussed has been shown to be high. Knowledge of BRCA variant status in individuals with other high-risk cancers can inform decisions regarding genetic counseling, chemotherapy, and enrollment in clinical trials.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with HBOC syndrome and ovarian cancer or other high-risk cancers considering systemic therapy options who receive genetic testing for a BRCA1 or BRCA2 variant, the evidence includes several randomized controlled trials (RCT) and single-arm trials. Relevant outcomes are OS, disease-specific survival, test validity, and quality of life. The numerous placebo-controlled RCTs of poly (adenosine diphosphate–ribose) polymerase (PARP) inhibitor drugs have consistently demonstrated that, in individuals with ovarian cancer and a germline BRCA variant, treatment with PARP inhibitor drugs significantly improve progression-free survival time. In individuals with BRCA-mutated metastatic castration-resistant prostate cancer, a single-arm clinical trial of rucaparib demonstrated a benefit on a surrogate outcome of objective response rate and evaluation of its effects on progression-free survival is pending completion of the ongoing randomized, standard care-controlled confirmatory TRITON3 trial (NCT02975934). Rates of overall Grade 3 or 4 adverse events ranged from 25.5% to 63.2% across PARP inhibitor drugs. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with a risk of HBOC syndrome who receive genetic testing for a PALB2 variant, the evidence includes studies of clinical validity and studies of breast cancer risk, including a meta-analysis. Relevant outcomes are OS, disease-specific survival, and test validity. Evidence supporting clinical validity was obtained from numerous studies reporting relative risks (RRs) or odds ratios (ORs). Study designs included family segregation, kin-cohort, family-based case-control, and population-based case-control. The number of pathogenic variants identified in studies varied from 1 (founder mutations) to 48. The RR for breast cancer associated with a PALB2 variant ranged from 2.3 to 13.4, with the 2 family-based studies reporting the lowest values. Evidence of preventive interventions in women with PALB2 variants is indirect, relying on studies of high-risk women and BRCA carriers. These interventions include screening with magnetic resonance imaging, chemoprevention, and risk-reducing mastectomy. Given the penetrance of PALB2 variants, the outcomes following bilateral and contralateral risk-reducing mastectomy examined in women with a family history consistent with hereditary breast cancer (including BRCA1 and BRCA2 carriers) can be applied to women with PALB2 variants, with the benefit-to-risk balance affected by penetrance. In women at high-risk of hereditary breast cancer who would consider risk-reducing interventions, identifying a PALB2 variant provides a more precise estimated risk of developing breast cancer.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

compared with family history alone and can offer women a more accurate understanding of benefits and potential harms of any intervention. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

Supplemental Information
Clinical Input From Physician Specialty Societies and Academic Medical Centers
While the various physician specialty societies and academic medical centers may collaborate with and make recommendations during this process, through the provision of appropriate reviewers, input received does not represent an endorsement or position statement by the physician specialty societies or academic medical centers, unless otherwise noted.

2010 Input
In response to requests, input was received for 3 physician specialty societies (5 reviewers) and 3 academic medical centers (5 reviewers) while this policy was under review in 2010. Those providing input were in general agreement with the Policy statements considering testing for genomic rearrangements of BRCA1 and BRCA2 as medically necessary and with adding fallopian tube and primary peritoneal cancer as BRCA-associated malignancies to assess when obtaining the family history.

Practice Guidelines and Position Statements
Guidelines or position statements will be considered for inclusion in ‘Supplemental Information' if they were issued by, or jointly by, a US professional society, an international society with US representation, or National Institute for Health and Care Excellence (NICE). Priority will be given to guidelines that are informed by a systematic review, include strength of evidence ratings, and include a description of management of conflict of interest.

National Comprehensive Cancer Network
Breast Cancer and Ovarian Cancer
Current NCCN (v.2.2022) guidelines on the genetic and familial high-risk assessment of breast and ovarian cancers include criteria for identifying individuals who should be referred for further risk assessment and separate criteria for genetic testing. Patients who satisfy any of the testing criteria
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Listed in CRIT-1 through CRIT-4 should undergo “further personalized risk assessment, genetic counseling, and often genetic testing and management.” For these criteria, both invasive and in situ breast cancers were included. Maternal and paternal sides of the family should be considered independently for familial patterns of cancer. Testing of unaffected individuals should be considered “only when an appropriate affected family member is unavailable for testing.”

The recommendations are for testing high penetrance breast cancer susceptibility genes, specifically BRCA1, BRCA2, CDH1, PALB2, PTEN, and TP53. Use of “tailored panels that are disease-focused and include clinically actionable cancer susceptibility genes is preferred over large panels that include genes of uncertain clinical relevance”.

BRCA1 and BRCA2 somatic variants are uncommon. The NCCN recommends if a somatic variant is identified through tumor profiling, then BRCA1 and BRCA2 germline testing is recommended.

Additionally, the NCCN Ovarian Cancer guidelines (v.1.2022) recommend tumor molecular testing for persistent/recurrent disease (OV-6) and describe in multiple algorithms that testing should include at least BRCA1/2, homologous recombination, microsatellite instability, tumor mutational burden, and neurotrophic tyrosine receptor kinase , (OV-6, OV-7, OV-B Principles of Pathology, OV-C Principles of Systemic Therapy).

Pancreatic Adenocarcinoma
Current NCCN guidelines for pancreatic adenocarcinoma (v.1.2022) refers to the NCCN guidelines on genetic/familial high-risk assessment of breast and ovarian detailed above, and state: “Germline testing is recommended for any patient with confirmed pancreatic cancer, using comprehensive gene panels for hereditary cancer syndromes."

Prostate Cancer
The current NCCN guidelines for prostate cancer are version 4.2022. The Principles of Genetics section (PROS-B) provides appropriate scenarios for germline genetic testing in individuals with a personal history of prostate cancer.
American Society of Breast Surgeons
A consensus guideline on genetic testing for hereditary breast cancer was updated in February 2019. The guideline states that genetic testing should be made available to all patients with a personal history of breast cancer and that such testing should include BRCA1/BRCA2 and PALB2, with other genes as appropriate for the clinical scenario and patient family history. Furthermore, patients who had previous genetic testing may benefit from updated testing. Finally, genetic testing should be made available to patients without a personal history of breast cancer when they meet National Comprehensive Cancer Network (NCCN) guideline criteria. The guidelines also note that variants of uncertain significance are not clinically actionable.

Society of Gynecologic Oncology
In 2015, the Society of Gynecologic Oncology (SGO) published an evidence-based consensus statement on risk assessment for inherited gynecologic cancer. The statement included criteria for recommending genetic assessment (counseling with or without testing) to patients who may be genetically predisposed to breast or ovarian cancer. Overall, the SGO and the NCCN recommendations are very similar; the main differences are the exclusion of women with breast cancer onset at age 50 years or younger who have 1 or more first-, second-, or third-degree relatives with breast cancer at any age; women with breast cancer or history of breast cancer who have a first-, second-, or third-degree male relative with breast cancer; and men with a personal history of breast cancer. Additionally, SGO recommended genetic assessment for unaffected women who have a male relative with breast cancer. Moreover, SGO indicated that some patients who do not satisfy criteria may still benefit from genetic assessment (eg, few female relatives, hysterectomy, or oophorectomy at a young age in multiple family members, or adoption in the lineage).

American College of Obstetricians and Gynecologists
The American College of Obstetricians and Gynecologists (2017, reaffirmed 2019) published a Practice Bulletin on hereditary breast and ovarian cancer syndrome. The following recommendation was based primarily on consensus and expert opinion (level C): “Genetic testing is recommended when the results of a detailed risk assessment that is performed as part of genetic counseling suggest the presence of an inherited cancer syndrome for which specific genes have been identified and when the results of testing are likely to influence medical management.”
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

U.S. Preventive Services Task Force
Current U.S. Preventative Services Task Force (USPSTF) recommendations (2019) for genetic testing of BRCA1 and BRCA2 variants in women state:

"The USPSTF recommends that primary care clinicians assess women with a personal or family history of breast, ovarian, tubal, or peritoneal cancer or who have an ancestry associated with BRCA1/2 gene mutation with an appropriate brief familial risk assessment tool. Women with a positive result on the risk assessment tool should receive genetic counseling and, if indicated after counseling, genetic testing (B recommendation). The USPSTF recommends against routine risk assessment, genetic counseling, or genetic testing for women whose personal or family history or ancestry is not associated with potentially harmful BRCA1/2 gene mutations. (D recommendation)"

Recommended screening tools included the Ontario Family History Assessment Tool, Manchester Scoring System, Referral Screening Tool, Pedigree Assessment Tool, 7-Question Family History Screening Tool, International Breast Cancer Intervention Study instrument (Tyrer-Cuziak), and brief versions of the BRCAPRO.

Medicare National Coverage
There are no national coverage determinations. In the absence of a national coverage determination, coverage decisions are left to the discretion of local Medicare carriers.

Ongoing and Unpublished Clinical Trials
Some currently unpublished trials that might influence this review are listed in Table 2.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Table 2. Summary of Key Trials

<table>
<thead>
<tr>
<th>NCT No.</th>
<th>Trial Name</th>
<th>Planned Enrollment</th>
<th>Completion Date (status if beyond Completion Date)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ongoing</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NCT04009148</td>
<td>Cascade Testing in Families With Newly Diagnosed Hereditary Breast and Ovarian Cancer Syndrome</td>
<td>300</td>
<td>Mar 2023</td>
</tr>
<tr>
<td>NCT03246841</td>
<td>Investigation of Tumour Spectrum, Penetration and Clinical Utility of Germline Mutations in New Breast and Ovarian Cancer Susceptibility Genes (TUMOSPEC)</td>
<td>500</td>
<td>Dec 2023</td>
</tr>
<tr>
<td>NCT02321228</td>
<td>Early Salpingectomy (Tubectomy) With Delayed Oophorectomy to Improve Quality of Life as Alternative for Risk Reducing Salpingo-oophorectomy in BRCA1/2 Gene Mutation Carriers (TUBA)</td>
<td>510</td>
<td>Jan 2035</td>
</tr>
</tbody>
</table>

NCT: national clinical trial.

a Denotes industry-sponsored or cosponsored trial.

References

Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023


©2023 Blue Cross and Blue Shield of Louisiana

Blue Cross and Blue Shield of Louisiana is an independent licensee of the Blue Cross and Blue Shield Association and incorporated as Louisiana Health Service & Indemnity Company.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from Blue Cross and Blue Shield of Louisiana.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #  00047
Original Effective Date:  05/13/2003
Current Effective Date:  04/10/2023

Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023


©2023 Blue Cross and Blue Shield of Louisiana

Blue Cross and Blue Shield of Louisiana is an independent licensee of the Blue Cross and Blue Shield Association and incorporated as Louisiana Health Service & Indemnity Company.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from Blue Cross and Blue Shield of Louisiana.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023


©2023 Blue Cross and Blue Shield of Louisiana

Blue Cross and Blue Shield of Louisiana is an independent licensee of the Blue Cross and Blue Shield Association and incorporated as Louisiana Health Service & Indemnity Company.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from Blue Cross and Blue Shield of Louisiana.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy #    00047
Original Effective Date:  05/13/2003
Current Effective Date:  04/10/2023

Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023


©2023 Blue Cross and Blue Shield of Louisiana

Blue Cross and Blue Shield of Louisiana is an independent licensee of the Blue Cross and Blue Shield Association and incorporated as Louisiana Health Service & Indemnity Company.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from Blue Cross and Blue Shield of Louisiana.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023


Policy History
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

04/25/2003 Medical Policy Committee review
05/12/2003 Managed Care Advisory Council approval
05/07/2004 Medical Director review
05/18/2004 Medical Policy Committee review. Format revision. No substance changes to policy.
06/28/2004 Managed Care Advisory Council approval
04/05/2005 Medical Director review
04/19/2005 Medical Policy Committee review. Investigational statements added to address: BRCA testing for unaffected individuals without family history or early age diagnosis as well as the use of BRCA testing in minors.
05/23/2005 Managed Care Advisory Council approval
06/07/2006 Medical Director review
05/02/2007 Medical Director review

©2023 Blue Cross and Blue Shield of Louisiana

Blue Cross and Blue Shield of Louisiana is an independent licensee of the Blue Cross and Blue Shield Association and incorporated as Louisiana Health Service & Indemnity Company.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from Blue Cross and Blue Shield of Louisiana.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

05/23/2007 Medical Policy Committee approval
05/07/2008 Medical Director review
05/21/2008 Medical Policy Committee approval. Title changed. No change to coverage eligibility.
07/02/2009 Medical Director review
07/22/2009 Medical Policy Committee approval. No change to coverage eligibility.
07/01/2010 Medical Policy Committee approval
07/21/2010 Medical Policy Implementation Committee approval. Two statements were added to the coverage section: one to indicate testing for genomic rearrangements may be considered to be eligible with criteria and a second that testing for CHEK2 mutations is investigational. Fallopian tube cancer and primary peritoneal cancer added to the coverage statements as additional cancers to be assessed in determining family history to assess risk.
07/07/2011 Medical Policy Committee review
04/12/2012 Medical Policy Committee review
04/25/2012 Medical Policy Implementation Committee approval. Coverage eligibility unchanged.
09/06/2012 Medical Policy Committee review
09/19/2012 Medical Policy Implementation Committee approval. Replaced the Patient Selection Criteria for both Cancer-affected Individuals and Unaffected Adults with criteria from the 2012 NCCN Guidelines. Added a Note following the Patient Selection Criteria for clarification.
11/01/2012 Medical Policy Committee review
11/28/2012 Medical Policy Implementation Committee approval. Removed “and either (1) there are 3 or more family members (1 lineage) affected with breast or ovarian or fallopian tube or primary peritoneal cancer or (2) who have a risk of a BRCA mutation of at least 10%” from that last eligible for coverage statement on testing for genomic rearrangements of the BRCA1 and BRCA 2 genes.
03/04/2013 Coding updated
04/04/2013 Medical Policy Committee review
04/24/2013 Medical Policy Implementation Committee approval. Criteria revised.
06/05/2014 Medical Policy Committee review
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

06/18/2014 Medical Policy Implementation Committee approval. Policy coverage statement rewritten for clarity and policy was updated with current NCCN guidelines. Added a 4th criteria bullet for patients without cancer regarding BRCA testing. “Including those with a family history of pancreatic cancer” added to investigational statement.

06/04/2015 Medical Policy Committee review

06/17/2015 Medical Policy Committee approval. Title changed. No change to coverage eligibility.

08/03/2015 Coding update: ICD10 Diagnosis code section added; ICD9 Procedure code section removed.

01/01/2016 Coding update

06/02/2016 Medical Policy Committee review

06/20/2016 Medical Policy Implementation Committee approval. Coverage eligibility unchanged.

01/01/2017 Coding update: Removing ICD-9 Diagnosis Codes

06/01/2017 Medical Policy Committee review

06/21/2017 Medical Policy Implementation Committee approval. Removed CHEK2 statement and added reference to 00504 which addresses CHEK2, PALB and ATM testing.

06/07/2018 Medical Policy Committee review

06/20/2018 Medical Policy Implementation Committee approval. Replaced “mutation(s)” with “variant(s)” throughout the policy. Created a “When Services Are Eligible for Coverage” section for the first coverage statement, since it stands alone with no criteria. Changed the last three criteria bullets in the “Patients with Cancer” section to as follows:

- Personal history of pancreatic cancer or prostate cancer at any age AND ≥1 1st-, 2nd-, or 3rd-degree relatives with either of the following.
  - Breast cancer ≤50; or
  - Ovarian/fallopian tube/primary peritoneal cancer at any age.
- Personal history of pancreatic cancer or prostate cancer at any age AND ≥2 1st-, 2nd-, or 3rd-degree relatives with breast, pancreatic or prostate cancer at any age.
- For pancreatic cancer, if Ashkenazi Jewish ancestry no additional affected relative is needed.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Added footnotes (a-d) to the end of the “When Services May Be Eligible for Coverage” section.

01/10/2019 Medical Policy Committee review
01/23/2019 Medical Policy Implementation Committee approval. Changed title from “Genetic Testing for Hereditary Breast and/or Ovarian Cancer” to “Genetic Testing for BRCA1 or BRCA2 for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers”. Removed the “When Services Are Eligible for Coverage” section. Coverage section with criteria on Patients with Cancer revised. Added a Note after the coverage criteria for Patients without Cancer. After the coverage criteria, replaced the explanation of familial assessment of 1st, 2nd, and 3rd degree relatives with verbiage defining close relatives from NCCN Guidelines. Added a Not Medically Necessary section. Changed investigational statement for when criteria are not met.

03/07/2019 Medical Policy Committee review
03/20/2019 Medical Policy Implementation Committee approval. Changed “ovarian carcinoma” to “ovarian/fallopian tube/primary peritoneal cancer” throughout the coverage section to be consistent with the NCCN guidelines Genetic/Familial High-Risk Assessment: Breast and Ovarian Version 3.2019 that footnotes, “Ovarian carcinoma includes fallopian tube and primary peritoneal cancers.”

06/17/2019 Coding update
09/09/2019 Coding update
03/05/2020 Medical Policy Committee review
03/11/2020 Medical Policy Implementation Committee approval. The definition of two breast cancer primaries was added as a footnote a from NCCN Guidelines for genetic testing for BRCA1 and BRCA2 variants in cancer-affected individuals for two criteria bullets. Removed the last criterion from genetic testing for BRCA1 and BRCA2 variants in unaffected individuals and replaced it with information from the U.S. Preventative Services Task Force regarding individuals with a family history of breast, ovarian, tubal, or peritoneal cancer or an ancestry associated with BRCA 1/2 gene mutations. Four familial risk assessment tools tables added at the end of the When Services May Be Eligible for Coverage section.

04/02/2020 Medical Policy Committee review
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

04/08/2020 Medical Policy Implementation Committee approval. Replaced 3rd criteria bullet for Patients without Cancer with information regarding individuals with a family history. Added tables for the Ontario Family History Assessment Tool, the Manchester Scoring System, the Referral Screening Tool, and the Pedigree Assessment Tool to the end of the eligible for coverage section.

06/09/2020 Coding update
08/17/2020 Coding update
03/04/2021 Medical Policy Committee review
03/10/2021 Medical Policy Implementation Committee approval. Revised coverage section and Policy Guidelines.
09/30/2021 Coding update
03/03/2022 Medical Policy Committee review
03/09/2022 Medical Policy Implementation Committee approval. Title changed from “Genetic Testing for BRCA1 or BRCA2 for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers” to “Germline Genetic Testing for BRCA1 or BRCA2 for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers”. Revised the “When Services May Be Eligible for Coverage” section.
10/06/2022 Medical Policy Committee review
10/11/2022 Medical Policy Implementation Committee approval. Extensive revisions made to the Coverage section, the Policy Guidelines section, and throughout the policy.
12/01/2022 Medical Policy Committee review
12/14/2022 Medical Policy Implementation Committee approval. Title changed from “Germline Genetic Testing for BRCA1 or BRCA2 for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers” to “Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)”. Extensive revisions made to the Coverage section.
03/02/2023 Medical Policy Committee review
03/08/2023 Medical Policy Implementation Committee approval. Added genetic testing for PALB2 to be eligible for coverage with criteria for individuals with cancer or with a personal history of cancer. Removed ovarian and prostate cancer related to systemic therapy from the last criteria bullet. Removed the last eligible for coverage statement for PALB2 variants in cancer affected individuals who meet criteria. Moved the Note related to metastatic prostate cancer to the Policy Guidelines
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Next Scheduled Review Date: 03/2024

Coding
The five character codes included in the Blue Cross Blue Shield of Louisiana Medical Policy Coverage Guidelines are obtained from Current Procedural Terminology (CPT®)®, copyright 2022 by the American Medical Association (AMA). CPT is developed by the AMA as a listing of descriptive terms and five character identifying codes and modifiers for reporting medical services and procedures performed by physician.

The responsibility for the content of Blue Cross Blue Shield of Louisiana Medical Policy Coverage Guidelines is with Blue Cross and Blue Shield of Louisiana and no endorsement by the AMA is intended or should be implied. The AMA disclaims responsibility for any consequences or liability attributable or related to any use, nonuse or interpretation of information contained in Blue Cross Blue Shield of Louisiana Medical Policy Coverage Guidelines. Fee schedules, relative value units, conversion factors and/or related components are not assigned by the AMA, are not part of CPT, and the AMA is not recommending their use. The AMA does not directly or indirectly practice medicine or dispense medical services. The AMA assumes no liability for data contained or not contained herein. Any use of CPT outside of Blue Cross Blue Shield of Louisiana Medical Policy Coverage Guidelines should refer to the most current Current Procedural Terminology which contains the complete and most current listing of CPT codes and descriptive terms. Applicable FARS/DFARS apply.

CPT is a registered trademark of the American Medical Association.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

Codes used to identify services associated with this policy may include (but may not be limited to) the following:

<table>
<thead>
<tr>
<th>Code Type</th>
<th>Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>CPT</td>
<td>0102U, 0103U, 0129U, 0138U, 81162, 81163, 81164, 81165, 81166, 81167, 81212, 81215, 81216, 81217, 81432, 81433 Delete code effective 4/1/2022: 0172U Add codes effective 01/01/2023: 81307, 81308</td>
</tr>
<tr>
<td>HCPCS</td>
<td>No codes</td>
</tr>
<tr>
<td>ICD-10 Diagnosis</td>
<td>All related diagnoses</td>
</tr>
</tbody>
</table>

*Investigational – A medical treatment, procedure, drug, device, or biological product is Investigational if the effectiveness has not been clearly tested and it has not been incorporated into standard medical practice. Any determination we make that a medical treatment, procedure, drug, device, or biological product is Investigational will be based on a consideration of the following:

A. Whether the medical treatment, procedure, drug, device, or biological product can be lawfully marketed without approval of the U.S. Food and Drug Administration (FDA) and whether such approval has been granted at the time the medical treatment, procedure, drug, device, or biological product is sought to be furnished; or

B. Whether the medical treatment, procedure, drug, device, or biological product requires further studies or clinical trials to determine its maximum tolerated dose, toxicity, safety, effectiveness, or effectiveness as compared with the standard means of treatment or diagnosis, must improve health outcomes, according to the consensus of opinion among experts as shown by reliable evidence, including:

1. Consultation with technology evaluation center(s);
2. Credible scientific evidence published in peer-reviewed medical literature generally recognized by the relevant medical community; or
3. Reference to federal regulations.

**Medically Necessary (or “Medical Necessity”) - Health care services, treatment, procedures, equipment, drugs, devices, items or supplies that a Provider, exercising prudent clinical judgment, would provide to a patient for the purpose of preventing, evaluating, diagnosing or treating an illness, injury, disease or its symptoms, and that are:

©2023 Blue Cross and Blue Shield of Louisiana

Blue Cross and Blue Shield of Louisiana is an independent licensee of the Blue Cross and Blue Shield Association and incorporated as Louisiana Health Service & Indemnity Company.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from Blue Cross and Blue Shield of Louisiana.
Germline Genetic Testing for Hereditary Breast/Ovarian Cancer Syndrome and Other High-Risk Cancers (BRCA1, BRCA2, PALB2)

Policy # 00047
Original Effective Date: 05/13/2003
Current Effective Date: 04/10/2023

A. In accordance with nationally accepted standards of medical practice;
B. Clinically appropriate, in terms of type, frequency, extent, level of care, site and duration, and considered effective for the patient's illness, injury or disease; and
C. Not primarily for the personal comfort or convenience of the patient, physician or other health care provider, and not more costly than an alternative service or sequence of services at least as likely to produce equivalent therapeutic or diagnostic results as to the diagnosis or treatment of that patient's illness, injury or disease.

For these purposes, “nationally accepted standards of medical practice” means standards that are based on credible scientific evidence published in peer-reviewed medical literature generally recognized by the relevant medical community, Physician Specialty Society recommendations and the views of Physicians practicing in relevant clinical areas and any other relevant factors.

‡ Indicated trademarks are the registered trademarks of their respective owners.

NOTICE: If the Patient’s health insurance contract contains language that differs from the BCBSLA Medical Policy definition noted above, the definition in the health insurance contract will be relied upon for specific coverage determinations.

NOTICE: Medical Policies are scientific based opinions, provided solely for coverage and informational purposes. Medical Policies should not be construed to suggest that the Company recommends, advocates, requires, encourages, or discourages any particular treatment, procedure, or service, or any particular course of treatment, procedure, or service.