Requirements for HIPAA 5010: Testing & Implementation

A PUBLICATION OF THE EDI DEPARTMENT OF BLUE CROSS AND BLUE SHIELD OF LOUISIANA
The 5010 Exchange

The American National Standards Institution Transaction Version 5010 and the National Council for Prescription Drug Programs Standard, Version D.0 will be required for electronic transactions such as healthcare claims. HIPAA 5010 is replacing the current version, 4010A1. To continue exchanging electronic transactions it is necessary to convert to the 5010 format.

5010 Testing Required

If you exchange electronic transactions with Blue Cross and Blue Shield of Louisiana (BCBSLA), you are required to conduct 5010 testing BEFORE you receive approval to begin submitting 5010 transactions in production. Below are the various submission types and a description of the testing plan for each type:

- **Direct Submitter with Vendor supported software**: A BCBSLA provider that has their own Trading Partner Submitter ID and exchanges transactions directly with BCBSLA. Support of the software and communications is performed by a software vendor.

  Testing will be conducted with the Software Vendor using either the vendor's test ID or one of their provider’s test ID’s.

  Provider should contact their software vendor to determine whether they are aware of this requirement. Additional testing information is provided on the following pages.

  The Vendor will advise the provider (their clients) and update the software for 5010 submissions once BCBSLA has authorized 5010 submissions from that Vendor’s locations.
• **Direct Clearinghouse Submitter**: Providers that send transactions/claims to a clearinghouse and the clearinghouse sends their claims/transactions to BCBSLA.

Testing will be conducted with the clearinghouse. The clearinghouse will use the Test Submitter ID number (previously assigned by BCBSLA to conduct testing).

The clearinghouse will advise their providers/clients when they have received approval to begin 5010 production submissions.

**Testing Procedure by Transaction**

<table>
<thead>
<tr>
<th>HIPAA Transaction</th>
<th>Description</th>
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<tbody>
<tr>
<td>837I</td>
<td>Institutional Claims</td>
</tr>
<tr>
<td>837P</td>
<td>Professional Claims</td>
</tr>
<tr>
<td>837D</td>
<td>Dental Claims</td>
</tr>
</tbody>
</table>

**Phase I**  
TA1, 999 and HIPAA Validation testing available now!

**Phase II**  
Includes Accepted and Not Accepted Reports testing available now!

**Eligibility and Inquiry:**

<table>
<thead>
<tr>
<th>HIPAA Transaction</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>270/271*</td>
<td>Eligibility and Response</td>
</tr>
<tr>
<td>276/277*</td>
<td>Claim Status and Response</td>
</tr>
<tr>
<td>278*</td>
<td>Referral Certification</td>
</tr>
</tbody>
</table>

We are ready for 27X 5010 testing and production submissions. Send 5010 files using Production ID and Production password to the new URL or new FTP host site (refer to next page). Once TP has confirmed transactions are processing accurately, you may discontinue using the 27X 4010 transactions.

Please email [edich@bcbsla.com](mailto:edich@bcbsla.com) if you need assistance or to advise your location is no longer sending 4010 27X transactions. We will then deactivate 4010 from your submitter ID.
Electronic Remittance Advice

<table>
<thead>
<tr>
<th>HIPAA Transaction</th>
<th>Description</th>
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<tbody>
<tr>
<td>835</td>
<td>Electronic Remittance Advice</td>
</tr>
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</table>

The 5010 835 files will automatically be placed in the Trading Partner’s production mailbox beginning approximately **May 7, 2012**.

The 5010 file name **BC5010835.out** will need to be added to your receive files program. Refer to Page 11 of our 5010 EDI Clearinghouse Support Guide for a complete list of file names.

When you are ready to discontinue receiving the 4010 835 files, you will need to **remove the BC835.out** command from your 4010 receive program.

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5010 Enhancements

- New Warning Report will be added to the Accepted and Not Accepted Reports to provide you advance notice of upcoming front-end edit changes.

- HIPAA Validation will increase from levels 1 (syntax) and 2 (format) to now include level 3 (balancing) and level 4 (situational).

- HIPAA Validation will occur at the claim level now instead of at the batch level. Therefore, you will receive a 999 for each claim submitted.

- Will allow the submission of Medicare Primary/Blue Cross Secondary for any claim that was not sent by Medicare/GHI to BCBSLA in the Crossover process.

- **Future enhancement:** Crossover Claims Report will be added to iLinkBLUE. Providing you with a complete listing of the claims we received from Medicare/GHI for BCBSLA processing.
Summary of 5010 Changes

- We will discontinue accepting Asynchronous communication protocols.

- You must exchange Secure FTP (FTPs): 128-Bit SSL (Secure Sockets Layer) encrypted file transfer via the Secure FTP.

- New FTP host site for all batch 5010 transaction exchange MUST BE SPECIFIED: mft.bcbsla.com. This site is exclusively for 5010 Transactions Only. If 4010 transactions are sent to this location, the 4010 will be returned to your mailbox. Do not send 4010 transactions to this location as those transactions will not be processed.

- The ports available for 5010 are 22, 990 and 10021. HIPAA 5010 will no longer service port 21.

- Eligibility and Claim Status (270/271, 276/277, 278 5010):
  - **BATCH**: New FTP host site MUST BE SPECIFIED: mft.bcbsla.com

- All rejections previously received on the ‘BCTPERR’ report will now appear on the HIPAA Validation Report.

- All memberships/contracts were moved from Legacy (our old claims processing system) to Facets (our new claims processing system). Due to the low volume expected, a decision was made not to remediate Legacy for 5010 processing. In the event a claim must be processed in Legacy, the “Not Accepted” report will advise you to submit the claim using the direct data entry screen in iLinkBLUE.
5010 Documentation

BCBSLA 5010 Companion Guides are available on our website at [www.bcbsla.com](http://www.bcbsla.com) >I’m a Provider >Electronic Services >Companion Guides.

**Download the following guides:**
- 5010 EDI Clearinghouse Service Guide
- 5010 Companion Guide **for each** electronic transaction you currently exchange.

**Other documents of interest:**
- 5010 Business Rules for each claim transaction you currently exchange.
- 5010 27x Companion guide

If you have questions on testing and/or implementation of HIPAA 5010, please email edich@bcbsla.com or call our support line at 225.291.4334.
5010 Testing

Before accepting production claim submissions, BCBSLA requires testing. Testing as outlined below is prudent to ensure errors are identified prior to moving into production submissions. Failure to properly test can result in a negative impact on provider cash flow.

**Critical Testing Tips:**

- Download 5010 documentation.

- Validate proper communication set up for 5010 exchange.

- Providers having difficulties connecting to the SFTP site should check their firewall blockers to ensure they can connect to IP Address (64.56.11.146).

- Additional connection issues, reference EDI Clearinghouse Service guide.

- When submitting test files you MUST use your current Test Submitter ID number and Test password. If you need your Test ID or the password, please email edich@bcbsla.com. Due to security reasons, passwords must be sent via postal mail.

- It is very important that all claim test files are submitted with your Test ID number (example: GS02 and ISA06 would contain T#####) and test password (password is different than your Production ID password). In addition, you must use the T indicator in the ISA15.

- Test file(s) must contain a minimum of 50 claims that are representative of the various claim types (office, dxl, x-ray etc.) that would be submitted in a typical daily submission.

- It is important that valid patient data is used within the test file; otherwise, the test may fail.

- For test files processed at 3 p.m. (CST), reports will be available by 6 p.m. of the same business day. Files received after 3 p.m., will be returned the next business day by 6 p.m.
Trading Partner (Submitter) Testing Steps

1. Create test file with rich variety of claims containing valid patient data (names, contract number, date of birth).

2. Validate use of the Test Submitter ID and T (test) indicator.

3. Submit test file using Test Submitter ID and password to new secured FTP host site.

4. Files submitted by 3 p.m. (CST), will be returned same business day by 6 p.m. Files submitted after 3 p.m. will be returned by 6 p.m. the following business day.

5. Retrieve and review the following reports:
   
a. **Interchange Acknowledgement**: TA1 - validates the file envelope. Normally available within 10 minutes after submission.

b. **Functional Acknowledgement**: 999 - indicates if file format was valid. BCCLREDIX12 - only X12 transactions that failed HIPAA validation. Normally available within 10 minutes after submission.

c. **Claims Transactions**: Accept/Not Accept - will indicate if the file passed or failed BC Business Rules. Files received prior to 3 p.m. (CST), will be returned the same business day by 6 p.m.

   **Note:** More details on the above reports are provided in our EDI Clearinghouse Service Guide and Business Rules document.
Correct all errors and resubmit the test files until **98%** of the claims submitted appear on the Accepted Report.

6. Once satisfactory level is achieved, contact our EDI Support Team at edich@bcbsla.com. BCBSLA is diligently working to achieve 5010 production processing by the **June 30, 2012** deadline. We are requesting that once you receive a 98% pass rate on testing, that you construct an email as follows and send to our EDI support team at edich@bcbsla.com.

   • In the Subject line of the email, provide the word 5010 and provide your BC assigned Test and Production Submitter ID: Example: Subject: 5010 T0000001 & P0000001

   • In the contents of the email indicate: PLEASE ACTIVATE TO 5010 SUBMISSION. Also provide your first and Last Name along with your contact phone number.

7. You must receive production approval prior to submitting production 5010 transactions.

Once we finalize our internal processes we will add 5010 production transactions (to the Production ID you provide in the email subject) and notify you to begin sending 5010 production submissions.