Intra-Articular Hyaluronan Injections for Osteoarthritis of the Knee

Policy # 00075
Original Effective Date: 01/27/2003
Current Effective Date: 10/01/2017

Applies to all products administered or underwritten by Blue Cross and Blue Shield of Louisiana and its subsidiary, HMO Louisiana, Inc. (collectively referred to as the “Company”), unless otherwise provided in the applicable contract. Medical technology is constantly evolving, and we reserve the right to review and update Medical Policy periodically.

When Services May Be Eligible for Coverage
Coverage for eligible medical treatments or procedures, drugs, devices or biological products may be provided only if:
- Benefits are available in the member’s contract/certificate, and
- Medical necessity criteria and guidelines are met.

Based on review of available data, the Company may consider intra-articular hyaluronan (IAHA) injections (including, but not limited to Gel-Syn™, Genvisc™, Hymovis™, Monovisc®, Hyalgan® †, Supartz® †, OrthoVisc®, Synvisc®, Synvisc-One®, Euflexxa®, or Gel-One*)‡ for treatment of painful osteoarthritis (OA) of the knee in patients who have insufficient pain relief from conservative nonpharmacologic therapy and simple analgesics to be eligible for coverage.

Initial Treatment
Patient Selection Criteria
The use of intra-articular hyaluronan (IAHA) for initial treatment will be considered for coverage eligibility when ALL of the following criteria are met:
- Patient has failed conservative therapy for at least three months with non-steroidal anti-inflammatory drugs (NSAIDS), or acetaminophen, if there is a contraindication to non-steroidal anti-inflammatory drugs (NSAIDS); AND
  (Note: This specific patient criterion is an additional Company requirement for coverage eligibility and will be denied as not medically necessary** if not met.)
- Patient has a documented diagnosis of osteoarthritis (OA) as evidenced by x-ray; AND
- Patient must be prescribed (and subsequently try and fail) Synvisc or Synvisc-One AND Euflexxa prior to other IAHA knee injection products (unless there is clinical evidence or patient history that suggests the use of these products will be ineffective or cause an adverse reaction to the patient).
  (Note: This specific patient criterion is an additional Company requirement for coverage eligibility and will be denied as not medically necessary** if not met.)

Repeat Treatment
Patient Selection Criteria
The use of intra-articular hyaluronan (IAHA) for repeat treatment will be considered for coverage eligibility when ALL of the following criteria are met:
- Six months or more have elapsed since the initial or prior treatment cycle; AND
  (Note: This specific patient criterion is an additional Company requirement for coverage eligibility and will be denied as not medically necessary** if not met.)
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Positive response (i.e., adequate pain relief, increase in or maintenance of function) to the initial or prior course of therapy has been demonstrated and is documented in the medical records (office notes) of the treating physician; AND
(Note: This specific patient criterion is an additional Company requirement for coverage eligibility and will be denied as not medically necessary** if not met.)

Patient has tried and failed Synvisc or Synvisc-One AND Euflexxa during prior courses of therapy (unless there is clinical evidence or patient history that suggests the use of these products will be ineffective or cause an adverse reaction to the patient).
(Note: This specific patient criterion is an additional Company requirement for coverage eligibility and will be denied as not medically necessary** if not met.)

When Services Are Considered Investigational

Coverage is not available for investigational medical treatments or procedures, drugs, devices or biological products.

Based on review of available data, the Company considers the use of intra-articular hyaluronan (IAHA) injections into joints other than the knee, to be investigational.*

Based on the review of available data the Company considers the use of intra-articular hyaluronan (IAHA) injections for diagnoses other than osteoarthritis (OA) to be investigational.*

When Services Are Considered Not Medically Necessary

The use of intra-articular hyaluronan (IAHA) injections when any of the following patient selection criteria are not met is considered to be not medically necessary**:

- For Initial: Patient has failed conservative therapy for at least three months with non-steroidal anti-inflammatory drugs (NSAIDS), or acetaminophen, if there is a contraindication to non-steroidal anti-inflammatory drugs (NSAIDS).
- For Initial: Patient must be prescribed (and subsequently try and fail) Synvisc or Synvisc-One AND Euflexxa prior to other IAHA knee injection products.
- For Renewal: Six months or more have elapsed since the initial or prior treatment cycle.
- For Renewal: Positive response (i.e., adequate pain relief, increase in or maintenance of function) to the initial or prior course of therapy has been demonstrated and is documented in the medical records (office notes) of the treating physician.
- For Renewal: Patient has tried and failed Synvisc or Synvisc-One AND Euflexxa during prior courses of therapy.

Background/Overview

Intra-articular injection of hyaluronan into osteoarthritic joints is thought to replace hyaluronic acid (HA), restore the viscoelastic properties of the synovial fluid, and improve pain and function. The majority of studies to date have assessed HA injections for knee OA, and this is the U.S. Food and Drug Administration
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(FDA) -approved indication. Other joints, such as the hip and shoulder, are currently being investigated for IAHA treatment of OA.

Hyaluronan is a naturally occurring macromolecule that is a major component of synovial fluid and is thought to contribute to its viscoelastic properties. Chemical crosslinking of HA increases its molecular weight; crosslinked HAs are referred to as hylans. In OA, the overall length of HA chains present in cartilage and the HA concentration in the synovial fluid are decreased. Intra-articular injection of HA has been proposed as a means of restoring the normal viscoelasticity of the synovial fluid in patients with OA. This treatment has been called viscosupplementation.

**FDA or Other Governmental Regulatory Approval**

U.S. Food and Drug Administration (FDA)

Multiple preparations of IAHA have been approved by the FDA as an alternative to nonsteroidal anti-inflammatory drug therapy in the treatment of OA of the knee (Synvisc and Synvisc-One, Sanofi; Hyalgan, Fidia; Supartz and Gelsyn, Bioventus; OrthoVisc and Monovisc Johnson and Johnson; Euflexxa, Ferring; Gel-One, Zimmer; Genvisc, Orthogen RX; Hymovis, Fidia Pharma USA). Euflexxa, Gel-Syn, Genvisc, Hymovis, Monovisc, and Orthovisc are derived from non-avian sources (bacterial cells), whereas the other products are derived from rooster or chicken combs. The non-avian products may be useful in patients with allergies to eggs or poultry products. Synvisc and Synvisc-One products differ from the others in that they are a viscous mixture of chemically cross-linked HA composed of 80% hylan A and 20% Hylan B. After cross-linking, the preparation is purified.

As mentioned earlier, multiple products have been approved in this space and the products have varying numbers of injections. Single injection products include Monovisc, Synvisc-One, and Gel-One. Hymovis uses two injections per course. Gel-Syn, Euflexxa, and Synvisc call for 3 injections, while Orthovisc calls for 3 to 4 injections per course. Supartz, Hyalgan, and Genvisc call for 5 injections per course of therapy.

The FDA has not approved IAHA for joints other than the knee.

**Rationale/Source**

This medical policy was developed through consideration of peer-reviewed medical literature generally recognized by the relevant medical community, U.S. FDA approval status, nationally accepted standards of medical practice and accepted standards of medical practice in this community, Blue Cross and Blue Shield Association technology assessment program (TEC) and other non-affiliated technology evaluation centers, reference to federal regulations, other plan medical policies, and accredited national guidelines.

**Knee**

IAHA products are generally safe and well tolerated. They are also generally considered to have modest improvement in symptoms of OA. There is no substantial clinical evidence to support a significant treatment difference between high molecular weight and low molecular weight IAHA products. The Express Scripts Clinical Summary of Hyaluronic Acid Derivatives cited the following meta-analysis: “A 2011 meta-analysis included all randomized controlled trials (RCTs) that enrolled patients and compared an IA HAD to placebo...”
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When used to treat OA of the knee. Eligible trials were required to report a minimum of one measure of pain, function, or stiffness. The primary endpoint was pain reduction at approximately Weeks 2, 4, 8, 12, 16, 20, and 24. A total of 49 reports that included 54 trials (n = 7,545) were included in the analysis. For the analysis, 49 trials (n = 6,962) contributed to pain-related outcomes, 16 trials (n = 2,571) contributed to function-related analysis, and 15 trials (n = 2,488) contributed to stiffness-related outcomes. Throughout the studies, the average age ranged from 45 to 72 years with women making up between 28% and 100% of the patients. The effect size on joint pain was evident by Week 4 (0.31 [95% confidence interval {CI}: 0.17, 0.45]), peaked at Week 8 (0.46 [95% CI: 0.28, 0.65]), and was still evident at Week 24 (0.21 [95% CI: 0.10, 0.31]). The effect size was 0.31 (95% CI: 0.11, 0.51) for function-related outcomes and 0.31 (95% CI: 0.12, 0.49) for stiffness-related outcomes with both values favoring IA HA (study time points not provided). Since an effect size of 0.20 has been determined to be clinically relevant for chronic pain, IA HA was found to be an effective agent for OA.

Of course, there are various interpretations of the data, including those that cite that IAHA products do not have any significant effect on OA. Varying practice guidelines from national organizations also exist. The American Medical Society for Sports Medicine in 2016 recommended IAHA for “appropriate” patients (over 60 years of age) with knee OA based on high-quality evidence. The society also “suggests” IAHA for patients under age 60 with knee OA based on moderate-quality indirect evidence. The 2013 guidelines from the American Academy of Orthopaedic Surgeons (AAOS) on treatment of OA of the knee indicated that AAOS could not recommend using IAHA for patients with symptomatic knee OA. The American College of Rheumatology (ACR) published updated guidelines in 2012 that addressed OA of the hand, hip, and knee. A conditional recommendation was given for use of IAHA to treat OA of the knee. ACR recommended not using IAHA for OA of the hand. For OA of the hip, ACR explicitly made no recommendation due to the lack of RCTs. The 2014 Osteoarthritis Research Society International guidelines, developed by consensus after review of existing guidelines and systematic reviews, gave an “uncertain” recommendation for the use of IAHA for knee OA and a recommendation of “not appropriate” for multijoint OA. The 2014 guidance by the National Institute for Health and Care Excellence stated: “Do not offer intra-articular hyaluronan injections for the management of osteoarthritis.” As noted, it is obvious that an argument exists regarding the clinical efficacy of IAHA products.

Joints Except the Knee

Ankle Osteoarthritis

The evidence was examined from published RCTs and systematic reviews. A 2015 Cochrane review by Witteveen et al addressed IAHA and other conservative treatments for ankle OA. Reviewers identified 6 RCTs, 3 of which were double-blind and compared IAHA to placebo. The other trials were single-blind. Two of them compared IAHA to another treatment (exercise in 1 study, botulinum toxin in the other) and the sixth trial compared different doses of hyaluronan. Five of the 6 trials included patients with unilateral ankle pain. Sample sizes at randomization ranged from 17 to 75, and length of follow-up ranged from 3 to 12 months. The authors pooled findings only for 2 of the 3 studies comparing IAHA and placebo. Meta-analyses of efficacy outcomes (pain, function) did not find use statistically significant benefit favoring IAHA over placebo, with the exception of the outcome Ankle Osteoarthritis Scale (AOS) total score at 6 months. For the AOS outcome, the pooled effect size was -12.53 (95% CI: -23.84 to -1.22) in favor of IAHA; however, the
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evidence for this analysis was rated as low due to the limitation in study design (ie, unclear risk of bias) and “…imprecision of result (low number of participants).” No serious adverse events were reported and no patient withdrew from the trial due to an adverse event.

A 2011 review of IAHA for ankle OA by Migliore et al considered RCTs and observational studies. They identified 3 small RCTs with a total of 75 patients, and 4 case series. In 2 of the RCTs, IAHA was compared with placebo injection and the third RCT compared IAHA with exercise therapy. Reviewers were unable to conduct a meta-analysis due to the limited number of studies and study heterogeneity.

**Foot Osteoarthritis**
There is a very limited amount of evidence on IAHA injections in the foot. Munteanu et al (2011) reported on an RCT of a single IAHA injection in 151 patients with first metatarsophalangeal joint OA. At the 1-, 3-, and 6-month follow-ups, there were no significant differences between the IAHA and placebo groups on the Foot Health Status Questionnaire.

**Thumb Osteoarthritis**
Two systematic reviews have evaluated IAHA and corticosteroid injections for treating thumb OA. The 2016 review by Kroon et al identified 3 studies comparing IAHA and placebo and 6 comparing IAHA and corticosteroids. Findings from the IAHA studies were not pooled. Unlike the Kroon review, the 2015 systematic review by Trellu et al included only RCTs and pooled study data. Six trials (total N=428 patients) were included in the meta-analyses; 169 patients were treated with HA, 147 with corticosteroids, and 74 with placebo. In pooled analyses of trials comparing IAHA and placebo (74 patients in each arm), there was no significant between-group difference in pain at week 12 (standardized response mean [SRM], -0.95; 95% CI, -3.87 to 1.97); however, functional capacity at week 12 was significantly better after IAHA than after placebo (SRM = -1.14; 95% CI, -1.69 to -0.60). When IAHA and corticosteroids were compared, there were no significant differences in pain, functional capacity, or pulp pinch force at 12 weeks. At 24 weeks, findings were mixed. There was no significant between IAHA and corticosteroids in functional capacity, IAHA was superior on pulp pinch force status (SRM = -1.66; 95% CI, -0.75 to -2.57), and corticosteroids were superior on pain (SRM=1.44; 95% CI, 0.14 to 2.74).

**Hip Osteoarthritis**
A 2015 systematic review by Lieberman et al included RCTs and observational studies (with a minimum of 10 patients) evaluating IAHA for treatment of pain associated with hip OA. Twenty-three studies were identified, 6 of which were RCTs. The studies evaluated 11 different formulations of IAHA. Durations of follow-up varied; 19 studies followed patients for 6 months or less, 3 studies had between 6 months and 1 year of follow-up, and 1 study followed patients for more than 1 year. The primary efficacy outcome was change from baseline in pain measured by a VAS. Reviewers did not report the number of points on the VAS, but presumably this differed across studies and the authors appeared to standardize results on a 10-point VAS. A pooled analysis of data from all studies found a statistically significantly lower pain score at follow-up compared to baseline. Mean change was -1.97 points on the VAS (95% CI, -2.83 to -1.12). In a pooled analysis of the 6 RCTs, there was a significantly greater decrease in pain with IAHA than with a
control intervention (-0.27 points on a VAS; 95% CI, -0.43 to -0.11). Although statistically significant, a between-group difference of 0.27 points on a VAS may not be clinically meaningful.

In 2016, Piccirilli et al published a systematic review of RCTs evaluating IAHA for any type of hip disorder. They identified 25 RCTs; the trials addressed hip OA, hip rheumatoid arthritis, and femoracetabular impingement. Reviewers provided a table of individual studies and noted that studies used different modalities and protocols; no attempt was made to synthesize findings quantitatively or qualitatively.

**Shoulder Osteoarthritis**

A 2014 systematic review by Colen et al identified RCTs, controlled observational studies, and case series evaluating IAHA for treatment of glenohumeral OA in adults. Eight studies met the eligibility criteria; 2 were RCTs, 5 were prospective case series, and 1 was a retrospective case-control study. Due to heterogeneity across studies and the small number of controlled studies, reviewers did not pool study findings on the efficacy of IAHA compared with placebo or an alternative intervention for treating shoulder OA. The RCTs are described next.

Blaine et al (2008) was an industry-sponsored trial, 3-arm of 660 patients with persistent shoulder pain due to glenohumeral joint OA, rotator cuff tear, and/or adhesive capsulitis that compared 3 weekly injections to 5 weekly injections of sodium hyaluronate (Hyalgan) and to 5 weekly injections of saline. Approximately 60% of patients had OA, although most with OA also had rotator cuff disorders or capsulitis. Sixty-nine percent (n=456) of the patients had a follow-up visit at 26 weeks. There was no significant difference among groups in the primary outcome measure (shoulder pain with movement at 13 weeks). Analysis of predefined, stratified subgroups revealed no significant differences in reported pain at 13 weeks but a statistically significant decrease of 7.5 mm and 7.8 mm (on a 100-mm VAS) in reported pain in both treatment groups at 26 weeks compared with placebo among patients with OA. In those without OA, there were no significant improvements with either regimen. Of note, this appears to be an as-treated analysis of the OA subgroup data, and the difference may not be clinically meaningful.

In 2013, Kwon et al published findings from a multicenter, randomized, double-blind, placebo-controlled trial of IAHA in 300 patients with glenohumeral OA. Intention-to-treat analysis found similar improvements from baseline in 100-mm VAS for pain (19.88 mm for IAHA, 16.29 mm for sham treatment) and in the Outcome Measures in Rheumatoid Clinical Trials–Osteoarthritis Research Society International (OMERACT–OARSI) high responder rate (40.8% for IAHA, 34.9% for sham) at 26 weeks. In a subset of IAHA patients, there were statistically significant differences of 4.0 mm in VAS score and 8.37% on the OMERACT–OARSI. However, the clinical significance of these differences is uncertain.

**Spine Osteoarthritis**

The data are limited to small pilot studies and case series.

**Summary**

Intra-articular injection of HA into osteoarthritic joints is thought to replace HA, restore the viscoelastic properties of the synovial fluid, and improve pain and function. The largest amount of evidence is on
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Intra-Articular Hyaluronan Injections for Osteoarthritis (OA) of the Knee. Individual trials show inconsistent results in pain and functional outcomes for IAHA compared to placebo or active control. Various meta-analyses of RCTs, however, support the clinical effectiveness of IAHA in OA of the knee. In general, studies report that IAHA had later onset but longer duration of action compared to IA corticosteroid injections. A recent RCT found repeated injections of IAHA progressively increased the number of patients responding to IAHA. A positive carry-over effect for up to 1 year was also noted after repeated injections of IAHA. Therefore, based on a compilation of available evidence, IAHA injections for OA of the knee appear to reduce pain and improve health outcomes and may be considered to be eligible for coverage.

For individuals who have OA of joints other than the knee who receive IAHA injections, the evidence includes RCTs, systematic reviews of RCTs, and observational studies. Relevant outcomes are symptoms, functional outcomes, and treatment-related morbidity. Meta-analyses of RCTs either have not found statistically significant benefits of the procedure on health outcomes or have found benefits that were statistically, but likely not clinically, significant (eg, 0.27-point improvement on a 10-point visual analog scale for hip OA). The evidence is insufficient to determine the effects of the technology on health outcomes.

References
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Policy History
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11/20/2002 Medical Policy Committee review
01/27/2003 Managed Care Advisory Council approval
01/20/2004 Medical Policy Committee review. Format revision. No substance change to policy.
01/25/2004 Medical Review Advisory Council approval
01/04/2005 Medical Director review
01/18/2005 Medical Policy Committee review
01/31/2005 Managed Care Advisory Council approval
01/04/2006 Medical Director review
01/17/2006 Medical Policy Committee review. Format revision. New criteria added. Must have failed conservative therapy for at least three months, have a documented diagnosis of osteoarthritis as evidenced by x-ray, and have failed a trial of at least one injection of a steroid into the knee to be eligible for coverage.
10/11/2006 Medical Director review
10/18/2006 Medical Policy Committee approval. Additional rationale/source was added from Hayes to support the requirement of a failed trial of at least one injection of a steroid into the knee.
12/06/2006 Medical Director review
01/09/2008 Medical Director review
01/23/2008 Medical Policy Committee approval. Removed requirement of steroid injection from patient selection criteria prior to approval. Added statement to deny not medically necessary when patient selection criteria is not met.
01/07/2009 Medical Director review
01/14/2009 Medical Policy Committee approval. No change to coverage eligibility.

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05/07/2009 Medical Director review
05/20/2009 Medical Policy Committee approval. Added new information regarding Synvisc-One.
08/05/2010 Medical Policy Committee review
08/18/2010 Medical Policy Implementation Committee approval. Coverage eligibility unchanged.
08/04/2011 Medical Policy Committee review
08/02/2012 Medical Policy Committee review
08/15/2012 Medical Policy Implementation Committee approval. Coverage eligibility unchanged.
03/04/2013 Coding revised
11/07/2013 Medical Policy Committee review
11/20/2013 Medical Policy Implementation Committee approval. Coverage language changed without altering the intent of the policy.
08/07/2014 Medical Policy Committee review
08/20/2014 Medical Policy Implementation Committee approval. Added Monovisc as a covered medication for intra-articular hyaluronan injection for the treatment of painful osteoarthritis of the knee

01/01/2015 Coding Update
08/03/2015 Coding update: ICD10 Diagnosis code section added; ICD9 Procedure code section removed.
10/08/2015 Medical Policy Committee review
10/21/2015 Medical Policy Implementation Committee approval. Updated rationale section for the “joints other than the knee”. No coverage changes.
01/01/2016 Coding update
06/02/2016 Medical Policy Committee review
06/20/2016 Medical Policy Implementation Committee approval. Added three new products: Gel-Syn, Hymovis, Genvisc

01/01/2017 Coding update: Removing ICD-9 Diagnosis Codes
07/06/2017 Medical Policy Committee review
07/19/2017 Medical Policy Implementation Committee approval. Added criteria that preferred products Synvisc or Synvisc One and Euflexxa) must be tried and failed.

Next Scheduled Review Date: 07/2018

Coding
The five character codes included in the Blue Cross Blue Shield of Louisiana Medical Policy Coverage Guidelines are obtained from Current Procedural Terminology (CPT®), copyright 2016 by the American Medical Association (AMA). CPT is developed by the AMA as a listing of descriptive terms and five character identifying codes and modifiers for reporting medical services and procedures performed by physician.

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*Investigational – A medical treatment, procedure, drug, device, or biological product is Investigational if the effectiveness has not been clearly tested and it has not been incorporated into standard medical practice. Any determination we make that a medical treatment, procedure, drug, device, or biological product is Investigational will be based on a consideration of the following:

  A. Whether the medical treatment, procedure, drug, device, or biological product can be lawfully marketed without approval of the U.S. FDA and whether such approval has been granted at the time the medical treatment, procedure, drug, device, or biological product is sought to be furnished; or

  B. Whether the medical treatment, procedure, drug, device, or biological product requires further studies or clinical trials to determine its maximum tolerated dose, toxicity, safety, effectiveness, or effectiveness as compared with the standard means of treatment or diagnosis, must improve health outcomes, according to the consensus of opinion among experts as shown by reliable evidence, including:

    1. Consultation with the Blue Cross and Blue Shield Association TEC or other nonaffiliated technology evaluation center(s);

    2. Credible scientific evidence published in peer-reviewed medical literature generally recognized by the relevant medical community; or

    3. Reference to federal regulations.

**Medically Necessary (or “Medical Necessity”) - Health care services, treatment, procedures, equipment, drugs, devices, items or supplies that a Provider, exercising prudent clinical judgment, would provide to a patient for the purpose of preventing, evaluating, diagnosing or treating an illness, injury, disease or its symptoms, and that are:

  A. In accordance with nationally accepted standards of medical practice;

  B. Clinically appropriate, in terms of type, frequency, extent, level of care, site and duration, and considered effective for the patient's illness, injury or disease; and

  C. Not primarily for the personal comfort or convenience of the patient, physician or other health care provider, and not more costly than an alternative service or sequence of services at least as likely to produce equivalent therapeutic or diagnostic results as to the diagnosis or treatment of that patient's illness, injury or disease.

For these purposes, “nationally accepted standards of medical practice” means standards that are based on credible scientific evidence published in peer-reviewed medical literature generally recognized by the relevant medical community, Physician Specialty Society recommendations and the views of Physicians practicing in relevant clinical areas and any other relevant factors.

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